



# HRNSW PENALTY GUIDELINES

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## PENALTY GUIDELINES FOR FINES

### Offense/Rules(s)/\$Amount

Late driver notification	210	\$50
Dropped foot	170 (1)	\$50
Dropped foot in vicinity of hind legs	170 (1)	\$200
Helmet not correctly fastened	159A (2), 224	\$50
Helmet not worn at track work	224	\$100
Helmet not correctly fastened or worn during race or trials	159A (2)	\$200
Not wear protective vest at track work	159A (7), 223	\$100
Not wear protective vest in races or trials	159A (7), 223	\$200
Out of position at start (metro standard races)	162 (1) (h), (i), (j)	\$100
Out of position at start	162 (1) (h), (i), (j)	\$50
Start from wrong barrier position	162 (1) (f), (g)	\$200
Score-up in wrong barrier position	162 (1) (e)	\$200
Not lead horse with bit in mouth	220	\$25 (Per Horse)
Shift ground in straight impeding other runners	162 (1) (www)	\$200
Late marshalling yard	135 (1), (2)	\$50
Delay start	162 (1) (d), 135 (3), (5)	\$100
Not lodge gear form or late gear change	268A (2), (3), 269	\$25 (Per Horse)
Incorrect gear	273 (6) (b)	\$100
Incorrect number	273 (6) (a)	\$100
Unclean gear	159A (5)	\$100
Unsatisfactory or non protective footwear	159A (4), 223	\$50
Insecure gear/poor quality	273 (2)	\$100
Disobey stewards direction	238	\$100 (Minimum)
Unregistered stable hands	202, 203, 204	\$100
Half carting	163 (3)	\$100 (Minimum)

*\*All penalties may increase for persons who repeatedly offend*

## PENALTY GUIDELINES FOR HORSES BREAKING GAIT (PACERS)

### Mobile Starting Offences (starting offences constitute score-up until approximately 100m after the start of the race)

Races roughly in score-up or races roughly at start 1 <sup>st</sup> to 3 <sup>rd</sup> start	Last chance in draw
Out of position 1 <sup>st</sup> to 3 <sup>rd</sup> start	Last chance in draw
Gallops out, breaks score-up or breaks after start 1 <sup>st</sup> to 3 <sup>rd</sup> start	ODM
Out of position 4 <sup>th</sup> start onwards	Last chance in draw
Gallops out, breaks score-up or breaks after start 4 <sup>th</sup> start onwards	Last chance in draw
Gallops out, breaks score-up or breaks after start and has previously offended within 3 starts	ODM
Gallops out, breaks score-up or breaks after start and is already an ODM horse	RODM/CODM + 1 trial

*\*Notwithstanding the above guidelines if stewards deem the performance of any horse unsatisfactory more severe action may be taken*

### Race Offences

Races roughly in running 1 <sup>st</sup> to 3 <sup>rd</sup> start	Last chance to race truly
Gallops in running 1 <sup>st</sup> to 3 <sup>rd</sup> start	1 trial
Gallops in running 4 <sup>th</sup> start onwards	Last chance to race truly
Gallops in running and has previously offended within 3 starts	1 trial
Gallops in running and has previously offended within 3 starts and horse had been ordered to re-trial	2 trials + 14 days
Gallops in running and has previously offended twice within 3 starts and horse has been ordered to trial twice	4 trials + 28 days

*\*Notwithstanding the above guidelines if stewards deem the performance of any horse unsatisfactory (gallops for a considerable distance, does not complete the event, gallops on more than one occasion) more severe action may be taken*

*\*\*Once a horse has completed 3 clear starts it is assumed that the horse has a clear record. A clear start for a starting offence cannot be deemed when that horse is out of the draw*

## PENALTY GUIDELINES FOR HORSES BREAKING GAIT (TROTTERS)

### Mobile and Stand Starting Offences (starting offences constitute score-up until approximately 100m after the start of the race)

Out of position or fractious score-up 1 <sup>st</sup> and 2 <sup>nd</sup> start	Last chance in draw
Gallops out, breaks score-up or breaks after start 1 <sup>st</sup> and 2 <sup>nd</sup> start	ODM or ODS
Out of position or fractious score-up 3 <sup>rd</sup> start onwards	Last chance in draw
Gallops out, breaks score-up or breaks after start 3 <sup>rd</sup> start onwards	Last chance in draw
Out of position or fractious score-up and horse has been issued with warning previous 2 starts	ODM or ODS
Gallops out, breaks score-up or breaks after start and horse has previously offended within 2 starts	ODM or ODS
Gallops out, breaks score-up or breaks after start and horse is already an ODM horse	RODM/RODS + 1 Trial

*\*Notwithstanding the above guidelines if stewards deem the performance of any horse unsatisfactory more severe action may be taken*

### Race Offences

Gallops in running 1 <sup>st</sup> and 2 <sup>nd</sup> start	1 trial
Gallops in running 3 <sup>rd</sup> start onwards	Last chance to race truly
Gallops in running and has previously offended within 2 starts	1 trial
Gallops in running, horse has offended within 2 starts and horse has been ordered to re-trial once	2 trials + 14 days
Gallops in running, horse has offended within 2 starts and horse has been ordered to re-trial twice	4 trials + 28 days

*\*Notwithstanding the above guidelines if stewards deem the performance of any horse unsatisfactory more severe action may be taken*

*\*\*Once a horse has completed 2 clear starts it is assumed that the horse has a clear record*

*\*\*\*Horses can requalify after 1 clear day from the time it is barred*

## **PENALTY GUIDELINES FOR THERAPEUTIC SUBSTANCES AND TCO2 POSITIVES**

### **First Offences Only**

- Penalty commencements – 12 month disqualification
- Discounts that may be applied for guilty plea – 2 months
- Licensee records – 1 month discount per 5 years of clean record under rule 19

*\*For exemplary records where it is found that a person has not offended under this Rule for a period of 20 Years, Suspensions of License may be substituted for disqualifications. Furthermore in extenuating circumstances fines may be applied*

*\*\*Whilst the above template should be followed where possible, the circumstances of the case and class of the drug may also result in variations of template guidelines being substituted*

## PENALTY GUIDELINES FOR DRIVING OFFENCES

### Rule 163 (1) (a) & 168 (1)

21 days	Horse checked, tightened or jostled
28 days	Horse checked, tightened or jostled on first turn
28 days	Horse checked and broke
35 days	Horse checked and broke on first turn
35 days	Horse checked causing fall or driver dislodged

*\*A premium of up to 21 days may be applied for cases where a driver is found to have displayed a high degree of carelessness*

*\*\*Charges under more serious sections of the rule may attract higher penalties*

#### Good Driving Record Penalty Discounts

14 days discount	600 drives or 2 years since last suspension under these rules
10 days discount	300 drives or 12 months since last suspension under these rules
7 days discount	150 drives and no more than 2 suspensions in the last 12 months under these rules

#### Guilty Plea

7 Days Discount

*\*It must be noted that whilst discounts will be applied no penalty will be reduced below 7 days*

#### Premium for Poor Driving Record

14 days premium	6 or more suspensions in last 400 drives or 12 months
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*\*Furthermore for "win at all costs" attitude or breaches in feature races under the above rules monetary penalties may also be included. Feature races may also result in higher base penalty being considered*

### Rule 163 (1) (b)

28 Days	Horse obliged to cover more ground than necessary
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#### Good Driving Record Penalty Discounts

14 days discount	600 drives or 2 years since last suspension under this rule
10 days discount	300 drives or not suspended under this rule for 12 months
7 days discount	150 drives or not suspended more than once under this rule in the last 3 months

#### Guilty Plea

7 Days Discount

## HRNSW PENALTY GUIDELINES

### Rule 149 (1) & (2)

Unreasonable drive	12 week suspension
Unacceptable drive	10 week suspension

*\*Some charges under this rule may attract higher penalties dependent upon the circumstances of the each individual drive*

### Good Driving Record Penalty Discounts

4 weeks discount	1500 drives or not suspended under this rule for 4 years or first offence
2 weeks discount	750 drives or not suspended under this rule for 2 years
1 week discount	300 drives or not suspended under this rule for 1 year

### Guilty Plea

2 weeks discount

### Whip Offences

Unacceptable whip use	\$200
If a driver breaches rule twice within 56 days	\$400
If a driver breaches rule three times in 56 days	14 days suspension

*\*A driver is considered to have a clean record after 56 days without penalty*

### Sectional Time Breaches

0.1 seconds to 2.0 seconds outside time requirement	\$100
2.1 seconds to 3.0 seconds outside time requirement	\$200
3.1 seconds to 4.0 seconds outside time requirement	\$300
4.1 seconds and over	\$400

*\*For drivers who breach rule within 56 days all penalties will increase*

### Change of Tactics

Fail to notify change of tactics	\$200
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*\*All change of tactics should be notified to stewards NO LATER than 45 minutes prior to the advertised starting time of the race for which the horse is engaged*

### Deferment of Suspensions

Stewards may defer the commencement of any suspension for a period of nine (9) days from the day the penalty is imposed. Only in exceptional circumstances will a driver be permitted to relinquish drives where final drivers have been declared