

SYNDICATE REGISTRATION APPLICATION

To facilitate prompt processing of your syndicate registration, please ensure that the applicable NSW <u>Syndicate Registration Fee of \$185</u> accompanies this application. <u>Applications submitted without payment will not be processed</u>.

We hereby make application to register a Syndicate under the Rules of Harness Racing (Rules 114 through 118). Should such registration be granted, the **Syndicate** agrees to be bound by the **Rule and Regulations of Harness Racing** (*as set out on Page 4 of this application*) and all determinations made by **Harness Racing NSW**.

The proposed **Syndicate** names (in ascending order of preference) are:

_				
1.				
2.				
3.				
the Syndicate hereby nominates		(insert name of nominated Syndicate Manager)		
of		(insert address of nominated Syndicate Manager)		

as its **Syndicate Manager** and authorises the said **Syndicate Manager** to act on its behalf in relation to any requirement of the **State Controlling Body** with respect to the horses owned or leased by the **Syndicate** and without limiting the generality of the foregoing to:

- i) act on behalf of the **Syndicate** in all matters relating to the ownership, leasing, registration, racing and breeding of any horse registered on behalf of the **Syndicate**;
- ii) enter, nominate and/or withdraw in accordance with the Rules of Harness Racing any horse registered on behalf of the Syndicate;
- iii) to accept any prizemoney or trophies on behalf of the **Syndicate**;
- iv) to execute on behalf of the **Syndicate** registration or other documents as required by the **State Controlling Body** on behalf of the **Syndicate**.

The members of the **Syndicate** hereby authorise the **State Controlling Body** to deal with the nominated **Syndicate Manager** with respect to any matter whatsoever arising out of the ownership, lease, registration, racing or breeding of any horse registered on behalf of the **Syndicate**.

Any change in the structure of the **Syndicate** membership (including the death of a member) is to be notified to the **State Controlling Body**, in writing, within seven (7) days of such a change occurring.

Signature of Syndicate Ma	anager		Date			
CREDIT CARD PAYMENT OPTION (VISA OR MASTERCARD ONLY)						
Card Number:						
Expiry Date :		CVV (3 digit value	printed on back of card)	\$185.00		
Cardholders Name : Cardholders Signature:						
Assigned Custor	ner Code		Invoice Number			

SYNDICATE MEMBERSHIP

DECLARATION: This is to certify that we agree to abide by the Rules and Regulations of Harness Racing. We declare that we are over the age of 18 years and we further declare that all particulars contained within this application are true and correct.

Note that the first named person will be regarded as the Syndicate Manager for racing purposes and all prize money will be paid into one nominated bank account (per Page 3)

TO BE COMPLETED FOR EACH SYNDICATE MEMBER (IN BLOCK LETTERS)						
1) Surname or Registered Name (Syndicate Manager)	Given Names			Date of Birth	% Ownership	
Address				Post Code	Primary Contact No	
email address		Occupation	SIGNATURE	I		
2) Surname	Given Names	<u>_</u>		Date of Birth	% Ownership	
Address	<u></u>			Post Code	Primary Contact No	
email address		Occupation	SIGNATURE	I		
3) Surname	Given Names			Date of Birth	% Ownership	
Address	I			Post Code	Primary Contact No	
email address		Occupation	SIGNATURE	I		
4) Surname	Given Names	1		Date of Birth	% Ownership	
Address			<u>_</u>	Post Code	Primary Contact No	
email address		Occupation	SIGNATURE	I		
5) Surname	Given Names			Date of Birth	% Ownership	
Address					Primary Contact No	
email address		Occupation	SIGNATURE			
6) Surname	Given Names			Date of Birth	% Ownership	
Address	Given Names		<u>_</u>		Primary Contact No	
		Occuration	CICNATURE		Primary Contact No	
email address		Occupation	SIGNATURE			
7) Surname	Given Names			Date of Birth	% Ownership	
Address				Post Code	Primary Contact No	
email address		Occupation	SIGNATURE			
8) Surname	Given Names			Date of Birth	% Ownership	
Address	L			Post Code	Primary Contact No	
email address		Occupation	SIGNATURE	I		
9) Surname	Given Names		<u>, I</u>	Date of Birth	% Ownership	
Address	l			Post Code	Primary Contact No	
email address		Occupation	SIGNATURE	<u> </u>		
10) Surname	Given Names			Date of Birth	% Ownership	
Address	<u></u>			Post Code	Primary Contact No	
email address		Occupation	SIGNATURE	I		
11) Surname	Given Names			Date of Birth	% Ownership	
Address				Post Code	Primary Contact No	
email address		Occupation	SIGNATURE	I		
12) Surname	Given Names			Date of Birth	% Ownership	
Address			<u> </u>	Post Code	Primary Contact No	
email address		Occupation	SIGNATURE			

TO BE COMPLETED FOR EACH SYNDICATE MEMBER (IN BLOCK LETTERS)						
13) Surname	Given Names			Date o	f Birth	% Ownership
Address				Post Code	Primary	Contact No
email address		Occupation	SIGNATURE			
14) Surname	Given Names			Date o	f Birth	% Ownership
Address				Post Code	Primary	Contact No
email address		Occupation	SIGNATURE			
15) Surname	Given Names	1	1	Date o	f Birth	% Ownership
Address				Post Code	Primary	Contact No
email address		Occupation	SIGNATURE			
16) Surname	Given Names	1		Date o	f Birth	% Ownership
Address				Post Code	Primary	Contact No
email address		Occupation	SIGNATURE			
17) Surname	Given Names			Date o	f Birth	% Ownership
Address				Post Code	Primary	Contact No
email address		Occupation	SIGNATURE			
18) Surname	Given Names			Date o	f Birth	% Ownership
Address				Post Code	Primary	Contact No
email address		Occupation	SIGNATURE			
19) Surname	Given Names	•		Date o	f Birth	% Ownership
Address				Post Code	Primary	Contact No
email address		Occupation	SIGNATURE	J		
20) Surname	Given Names	•	•	Date o	f Birth	% Ownership
Address				Post Code	Primary	Contact No
email address		Occupation	SIGNATURE			

PRIZEMONEY BANKING DETAILS THIS SECTION MUST BE COMPLETED TO FACILITATE THE PAYMENT OF PRIZEMONEY TO THE SYNDICATE				
Name In Which The Account Is Held				
Banking Institution BSB No -	Branch Account No			
HRNSW OFFICE USE ONLY				
Approved Syndicate Name				
Customer Code Assigned				

APPLICABLE RULES / PRIVACY STATEMENT

Syndicates shall be registered with Harness Racing NSW subject to the Australian Rules of Harness Racing, Rules 114 through 118 (inclusive).

Note that struck through items appearing herein are not applicable to the registration of syndicates where such syndicate is registered in the State of New South Wales, however; be aware that amended requirements (where applicable) will be specified elsewhere within the application and that the applicable Rules of other State Controlling Bodies will apply if this application is lodged in a state other than New South Wales.

Syndicates & Groups

(2)

- (1) The connections of a horse may make application to the Controlling Body to register a syndicate in respect of the horse.
 - (2) If 11 or more persons own a horse, application to register a syndicate shall be made under Sub Rule (1).
 - (3) An application under Sub Rule (1) shall be made in the prescribed manner and form and be accompanied by such documentation, information and fees as the Controlling Body determines.
 - (4) The Controlling Body may grant or refuse an application under Sub Rule (1).
 - (5) The Controlling Body may at any time cancel the registration of a syndicate.
- 114A (1) Application to register a syndicate shall be made on Form R114-A.
 - (2) Application to renew the registration of a syndicate shall be made on form R114-C.
- 115 (1) A syndicate shall appoint a natural person as its manager.
 - (2) An appointment under Sub Rule (1) can only be made with the approval of the Controlling Body.
 - (3) 10 or fewer persons who jointly own a horse shall appoint a natural person as the joint ownership manager.
 - (4) A manager appointed pursuant to this Rule shall act for and on behalf of the syndicate or joint ownership as the case may be in all harness racing matters and is responsible for ensuring that the obligation of the syndicate or joint ownership arising under these Rules are met.
 - (5) The Controlling Body may at any time cancel the appointment of a manager appointed under these Rules.
- 116 (1) The manager shall notify the Controlling Body of any change in the composition of the syndicate within 7 days of the change occurring or prior to the horse next racing, whichever is earlier.

A manager who fails to comply with Sub Rule (1) is guilty of an offence.

- 116A Notification of a change in membership of a syndicate shall be made on Form R114-B
- 117 If a member of a syndicate *or a part to a joint ownership arrangement* is under disqualification, a horse owned by the syndicate *or in joint partnership as the case may be* cannot be nominated for or start in a race except with the approval of the Controlling Body.
- 118 (1) A person shall not use a syndicate name if the syndicate is not registered under these Rules.
 - (2) A person shall not assert that he or she is a manager of a syndicate if that person is not appointed as such manager in accordance with these Rules.
 - (3) A person who fails to comply with any provision of this Rule is guilty of an offence.

PRIVACY STATEMENT – COLLECTION AND DISSEMINATION OF PERSONAL INFORMATION

Harness Racing NSW (HRNSW) collects personal information from you in this form in order to manage, supervise and assist with your participation in the harness racing industry.

To do this, we may disclose personal information, including financial information, to other persons or organisations, including enforcement bodies, State or Federal Government licencing or compliance authorities, harness racing related associations, harness racing clubs and other racing control bodies.

You do not have to supply the information requested in this form, however; if the information (or any part of it) is not provided, your application may be declined. By completing and submitting this application, and any supporting documentation, you authorise HRNSW to collect, use and disclose information about you for the purposes described above.

In most cases, you can gain access to your personal information and request that corrections be made to it, if necessary.