

TRANSFER OF OWNERSHIP OF HORSE (1 – 20 PART OWNERS - SINGLE ACCOUNT PAYMENT)

To facilitate prompt processing of your transfer, please ensure that the applicable NSW **Transfer Fee of \$70.00** accompanies this application.

Please carefully read the accompanying Requirements & Guidelines Schedule included with this application (Page 8) so you are fully aware of the requirements associated with the transfer of a horse in the state of New South Wales. Note that both Schedule A and Schedule C are to be completed by the previous owner(s). **Note also that the Schedule of Statements and Declarations (Page 4) must be completed and returned in order for this Transfer of Ownership to be considered valid in the State of New South Wales.**

¥ SCHEDULE A : TO BE COMPLETED BY PREVIOUS OWNER(S) ¥

This is to advise that on we / I have disposed of the

horse

to the person(s) listed in Schedule B of this document **Purchase Price \$**

Compulsory
(Refer Point 11 of the Schedule)

ALL PREVIOUS OWNERS TO PROVIDE FULL NAME & SIGNATURE

| | Full Name | Signature | | Full Name | Signature |
|----|-----------|-----------|----|-----------|-----------|
| 1 | | | 11 | | |
| 2 | | | 12 | | |
| 3 | | | 13 | | |
| 4 | | | 14 | | |
| 5 | | | 15 | | |
| 6 | | | 16 | | |
| 7 | | | 17 | | |
| 8 | | | 18 | | |
| 9 | | | 19 | | |
| 10 | | | 20 | | |

Independent Witness : Signature

Independent Witness : Full Name

Address

Please specify the name and address of the person to whom the Registration Assessment Certificate (RAC) is to be posted (if different from the Partnership Manager)

Please forward this application, when complete, to the Controlling Body in the state that you wish to have the application processed (if other than NSW, please check that state's requirements before submitting the application). **Ensure that the \$70.00 fee accompanies the application if it is being lodged in the State of New South Wales (see top of the next page for payment slip if paying by credit card).**

CREDIT CARD PAYMENT OPTION (VISA OR MASTERCARD ONLY)

| | | | | | | | |
|--------------------|-------|--|--|------------------------|---|--|--|
| Card Number: | | | | | | | |
| Expiry Date : | | | | | CVV (3 digit value printed on back of card) | | |
| Cardholders Name : | _____ | | | Cardholders Signature: | _____ | | |

\$70.00

| | | | |
|-------------------------------|--|-----------------------|--|
| Assigned Customer Code | | Invoice Number | |
|-------------------------------|--|-----------------------|--|

SCHEDULE B : TO BE COMPLETED NEW OWNER(S)

DECLARATION: This is to certify that I/we have acquired the horse described herein and I/we agree to abide by the Rules and Regulations of Harness Racing. I/we declare that I/we are **over the age of 18 years** and that I/we are the only person(s) whom have any interest whatsoever in this horse and I/we further declare that all particulars contained within this application are true and correct.

Note that the first named person will be regarded as the **Partnership Manager** for racing purposes and all prize money will be paid into one nominated bank account.

TO BE COMPLETED FOR EACH PART OWNER (IN BLOCK LETTERS) – MAXIMUM OF TWENTY (20) INDIVIDUALS

| | | | |
|--|---------------|---------------|--------------------|
| 1) Surname or Registered Name (Partnership Manager) | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | Customer Code | SIGNATURE | |

| | | | |
|---------------|---------------|---------------|--------------------|
| 2) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | Customer Code | SIGNATURE | |

| | | | |
|---------------|---------------|---------------|--------------------|
| 3) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | Customer Code | SIGNATURE | |

| | | | |
|---------------|---------------|---------------|--------------------|
| 4) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | Customer Code | SIGNATURE | |

| | | | |
|---------------|---------------|---------------|--------------------|
| 5) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | Customer Code | SIGNATURE | |

| | | | |
|---------------|---------------|---------------|--------------------|
| 6) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | Customer Code | SIGNATURE | |

| | | | |
|---------------|---------------|---------------|--------------------|
| 7) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | Customer Code | SIGNATURE | |

| | | | |
|---------------|---------------|---------------|--------------------|
| 8) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | Customer Code | SIGNATURE | |

| | | |
|-------------------------------|--------------|-----------|
| Independent Witness Signature | Witness Name | Date |
| Address | | Post Code |

| | | | |
|---------------|-------------|---------------|--------------------|
| 9) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 10) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 11) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 12) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 13) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 14) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 15) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 16) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 17) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 18) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 19) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |
| 20) Surname | Given Names | Date of Birth | % Ownership |
| Address | | Post Code | Primary Contact No |
| email address | | Customer Code | SIGNATURE |

| | | |
|-------------------------------|--------------|-----------|
| Independent Witness Signature | Witness Name | Date |
| Address | | Post Code |

IMPORTANT NOTE:

- 1) **Partnership Manager** refers to the person whom is elected to nominate and forfeit this horse as well as receive all correspondence and prize money. The Partnership Manager is **NOT** empowered to sign documents on behalf of the other partners;
- 2) This application **must** be submitted to HRNSW within seven (7) days of a change in ownership, or prior to the horse next racing, whichever is earlier;
- 3) Please ensure that **ownership percentages** (marked in **blue** on Pages 2 and 3) are recorded as required and total 100%;
- 4) Note that the recorded details of the new **ownership group** recorded on Pages 2 and 3 must be independently witnessed in the spaces set aside for this purpose.

SCHEDULE OF STATEMENTS AND DECLARATIONS
(COMPULSORY - this schedule MUST be completed and returned to validate this Transfer of Ownership)

PRIVACY STATEMENT – COLLECTION AND DISSEMINATION OF PERSONAL INFORMATION

Harness Racing NSW (HRNSW) collects personal information from you in this form in order to manage, supervise and assist with your participation in the harness racing industry.

To do this, we may disclose personal information, including financial information, to other persons or organisations, including enforcement bodies, State or Federal Government licencing or compliance authorities, harness racing related associations, harness racing clubs and other racing control bodies.

You do not have to supply the information requested in this form, however; if the information (or any part of it) is not provided, your application may be declined. By completing and submitting this application, and any supporting documentation, you authorise HRNSW to collect, use and disclose information about you for the purposes described above. In most cases, you can gain access to your personal information and request that corrections be made to it, if necessary.

If you do not wish to have information provided herein to be disseminated as discussed above, you MUST tick the box to the right and sign the bottom of this form accordingly.



GST INFORMATION AND DECLARATIONS

By completing and signing the attached Transfer of Ownership of Horse application, I/we notify and declare that I/we am/are registered for GST or an ABN or as a Hobbyist, as shown herein.

I/we will notify the appropriate State Controlling Body if I/we cease to be registered for GST or for an ABN.

The appropriate State Controlling Body is registered for GST and will notify me/us if it ceases to be registered. The appropriate State Controlling Body will indemnify me/us for any liability for GST or penalty that may arise from an understatement of the GST payable on any supply for which it issues a Recipient Created Tax Invoice (RCTI).

This information may be disseminated to controlling bodies in other States to facilitate payment of prize money.

HOBBYIST DECLARATION

If you have indicated that you are a “Hobbyist”, you are confirming that you are a Genuine Hobbyist whom is not able to claim a credit for GST paid on your Harness Racing purchases.

If you wish to be able to claim back GST you pay on your purchases, you should register for GST with an Australian Business Number (ABN).

This declaration is only available to individuals or partnerships/syndicates (the majority of whose members are individuals) under Section 12-190(6) of the Taxation Administration Act 1953 – not companies, trusts or other entities.

1. I/we understand that under the New Tax System if I/we am/are a Hobbyist participant in Harness Racing, I/we will be unable to claim input tax credit (“refund”) for Goods & Services Tax (“GST”) that I/we pay on my/our purchases;
2. I/we declare that my/our supply of horses for Harness Racing is an activity done as a private recreational pursuit or hobby, or is wholly of a private or domestic nature for me/us, or
3. I/we declare that my/our supply of horses for Harness Racing is undertaken without reasonable expectation of a profit. I/we am/are not carrying out an enterprise, as set out in the GST Legislation;
4. Should any of these circumstances change, I/we will advise the appropriate State Controlling body immediately.

As the nominated Partnership Manager of the Standardbred horse specified within this Transfer of Ownership, I hereby acknowledge that I have read and fully understand the Statements and Declarations made herein and affix my signature below as my confirmation of this understanding.

Full Name (Partnership Manager)

Signature (Partnership Manager)

Date

GST / LEGAL STATUS OF NEW OWNER(S) & PRIZEMONEY BANKING DETAILS

1) Are you or your partnership group a single entity for GST purposes? *(tick as appropriate)*

YES Go to **(A)** below
 NO Go to **(B)** below

NOTE: a single entity includes: a Single Person, Incorporated Company, Partnership for tax purposes or a group of all Hobbyist owners. If you are uncertain with respect to how to respond to this question, it is recommended that you consult your accountant or financial advisor for appropriate advice

A) If you responded **YES** to the above question, please provide your GST status and/or your Ownership Groups GST status (GST REGISTERED or HOBBYIST).

| | | |
|--|--|---|
| HOBBYIST? <small>(please tick)</small> <input type="checkbox"/> | GST REGISTERED? <small>(please tick)</small> <input type="checkbox"/> | ABN (if applicable) <input style="width: 100%;" type="text"/> |
|--|--|---|

B) If you responded **NO** to the above question, you are be required to provide the GST status of each individual part owner in the ownership boxes below:

| NAME OF NEW OWNER(S) | GST STATUS <small>(PLEASE TICK)</small> | ABN <small>(IF APPLICABLE)</small> |
|----------------------|---|------------------------------------|
| 1) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 2) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 3) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 4) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 5) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 6) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 7) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 8) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 9) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 10) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |

If there are more than ten (10) part owners associated with the new ownership, please continue recording information on the following page

PRIZEMONEY BANKING DETAILS
 THIS SECTION MUST BE COMPLETED TO FACILITATE THE PAYMENT OF PRIZEMONEY TO YOU

An Australian bank account (overseas bank accounts cannot be used for payments made by Harness Racing NSW) is required to facilitate the payment of prize monies. By signing the Transfer of Ownership application, all part owners indicate their respective acceptance of prize monies being deposited into the bank account stated below. It is recommended that this section be completed as bank account details associated with existing or similarly structured partnership groups are not automatically updated when a Customer Code is created to accommodate a new or varied group of owners. Note that amounts owed to Harness Racing NSW relating to race fees will be automatically offset each Stakes Run. Online statements associated with this partnership can be accessed via the HRNSW website by the Partnership Manager upon application to the Harness Racing NSW Finance Team.

| | | | |
|-----------------------------------|---|------------|---|
| Name In Which The Account Is Held | | | |
| Banking Institution | | Branch | |
| BSB No | <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> | Account No | <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> <input style="width: 30px; height: 20px;" type="text"/> |
| Customer Code | Horse | | |

GST / LEGAL STATUS OF NEW OWNER(S)
(continued from previous page)

| NAME OF NEW OWNER(S) | GST STATUS (PLEASE TICK) | ABN (IF APPLICABLE) |
|----------------------|---|---------------------|
| 11) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 12) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 13) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 14) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 15) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 16) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 17) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 18) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 19) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |
| 20) | <input type="checkbox"/> Hobbyist <input type="checkbox"/> GST Registered | |

SCHEDULE C : NOTIFICATION OF PENDING TRANSFER OF A HORSE

To avoid any repercussions due to possible delays in the Transfer of Ownership of Horse being submitted to Harness Racing NSW by the new ownership group or subsequently processed upon receipt by Harness Racing NSW, previous owner(s) should protect themselves by completing this form and forwarding (email or fax acceptable) to Harness Racing NSW for processing.

Note that Harness Racing NSW shall, upon receipt of this Notification, cease your ownership of the subject horse as of the date specified herein. New owners should note that, once the previous ownership has been terminated, the horse cannot be nominated for racing until such time as the Transfer of Ownership of Horse is received, approved and processed by Harness Racing NSW.

This is to advise that the horse :

Sire : Dam :

Foaling Date :

was transferred by me/us on : and is to be removed from my/our ownership

CURRENT OWNERSHIP – PARTNERSHIP MANAGER DETAILS

Full Name

Address

Suburb/Town Post Code Contact Number

email address

NEW OWNERSHIP – PARTNERSHIP MANAGER DETAILS

Full Name

Address

Suburb/Town Post Code Contact Number

email address

TRANSFER OF OWNERSHIP OF HORSE – REQUIREMENTS / GUIDELINES SCHEDULE

- 1) Transfer of Ownership of Horse applications must be submitted within seven (7) days of a change of ownership, or prior to the horse next racing or trialling, whichever is earlier. A late Penalty Fee of \$140.00 applies for transfer applications lodged in excess of sixty (60) days from date of stated transfer (excluding yearlings purchased at sale):
 - a. **Australian Harness Racing Rule 111 (2) states:** “Within 7 days of agreeing to the change or prior to the horse next racing, whichever is earlier, or such other time as the Controlling Body may determine, the transferee shall make application to the Controlling Body to register the change.”
- 2) To reduce the overall processing time, HRNSW accept submission of Transfer of Ownership of Horse applications electronically (emailed to registration@hrnsw.com.au) or via facsimile (sent to 02 8580 5792) however; it is noted that the scan or fax must be of sufficient quality and clarity to ensure that the document is wholly legible when printed by HRNSW. Low quality, poorly scanned or incomplete applications will not be processed;
- 3) The transfer application must be completed correctly by both the **previous** and **new** owner(s) in all respects. Transfer applications received that have been incorrectly completed or not fully completed will be returned unprocessed to the nominated Partnership Manager or individual owner (as the case may be) for correction and resubmission to HRNSW, which will delay the overall transfer process;
- 4) Payment of the required \$70.00 transfer fee must accompany the Transfer of Ownership of Horse application, and may be made by cheque, money order, credit card (Visa or Mastercard only) or by direct deposit to HRNSW:

| | |
|---------------------|--|
| Banking Institution | Commonwealth |
| Branch | Bankstown |
| Account Name | Harness Racing NSW |
| BSB | 062-111 |
| Account Number | 1046 7584 |
| Reference | The name or Certificate Number of the horse being transferred |

Please ensure, if paying by direct deposit to the HRNSW account, that you indicate this on the transfer document and email a copy of the payment advice to registration@hrnsw.com.au. Note that this payment method may delay the transfer process by between 48 – 96 hours (until the payment has been cleared into the HRNSW account);

- 5) Prize money related to the horse being transferred will be paid to the previous owner(s) until such time as the Transfer of Ownership of Horse application has been received, reviewed and subsequently processed by HRNSW, thus it is important to ensure that the transfer application is lodged in a timely manner so that the new owner(s) will receive prize money proceeds and be correctly debited for associated race related costs. Prize money paid to previous owners as a result of the delayed submission of a Transfer of Ownership to HRNSW will need to be recovered by the new owner(s);
- 6) Schedule A of the Transfer of Ownership of Horse application **MUST** be signed by **all previous owners and part owners** of the horse being transferred unless a pre-existing agreement to have the nominated Partnership Manager sign on behalf of all parties has been approved by HRNSW and is already held on file. Schedule A must additionally be independently witnessed in the spaces provided for this purpose. Transfer applications not signed by all parties, or appropriately witnessed, will not be processed. An effective date of transfer must be provided as this information may determine to whom prize money is paid;
- 7) Schedule B of the Transfer of Ownership of Horse application **MUST** be signed by **all new owners and part owners** of the horse being transferred. By default, the individual listed first in Schedule B will be regarded as the Partnership Manager for racing purposes. The Partnership Manager is the person elected to be the principal contact in regards to matters concerning the horse, as well as to receive all correspondence, prize money and access to online statements. The Partnership Manager is not empowered to sign documents on behalf of other owners or part owners (unless an agreement, signed by all owners and part owners, accompanies the transfer application, expressly providing such authority). Schedule B must additionally be independently witnessed in the spaces provided for this purpose. Transfer applications not signed by all parties, or appropriately witnessed, will not be processed. Note that it is compulsory for the Ownership Percentages to be provided as this information may be relied upon in the event of any subsequent disputes associated with the ownership group;
- 8) **BUYER BEWARE** : If in doubt, please check with HRNSW to ensure that the current owner(s) are not listed on the Unpaid Forfeits List (UFL) as horses associated with individuals on the UFL (or where other impediments may affect the status of the horse) will not be processed until the matter has been settled with the appropriate State Controlling Body. Additionally, ensure that all required signatures for the previous owner(s) have been provided in Schedule A. Invalid or missing signatories will result in the Transfer application being returned to the nominated Partnership Manager or individual owner (as the case may be) unprocessed;
- 9) **Previous owners** are encouraged, to avoid any repercussions due to possible delays in the transfer application being submitted or processed by the new owners, to complete and return **Schedule C** of the Transfer of Ownership of Horse application (**Notification of Pending Transfer of Horse**) to HRNSW so that the ownership is terminated as of the date on which the physical or financial transfer was conducted, which effectively takes the horse out of the name(s) of the previous owner(s). **New owners** should note that once a **Notification of Pending Transfer of Horse** has been received and processed, the horse is flagged as having no owner on the national database and will not be able to be nominated for trialling or racing purposes until such time as the completed transfer application has been submitted to HRNSW for approval and processing;
- 10) Note that all new owners or part owners **MUST** be over the age of eighteen (18) years. Individuals under the age of 18 are considered minors, and, as such, cannot be listed as an owner or part owner of a horse transferred in the state of New South Wales;
- 11) Note that the provision of the Purchase Price is compulsory and must be stated on the first page of the Transfer of Ownership of Horse application as this information may be relied upon in the event of subsequent disputes associated with the ownership group;
- 12) Note that transfer applications processed whereby the provided payment method is subsequently notified as having been declined or dishonoured by Finance staff may result in the horse being stood down until such time as the appropriate fee has been paid in full in cleared funds to HRNSW;
- 13) New owners and part owners are to note that all prize money will be paid into a **single** bank account as nominated by the new owner or the nominated Partnership Manager (as applicable) as stated in the Prizemoney Banking Details form included in the Transfer of Ownership of Horse application. Fees associated with races will be automatically offset against prize money as part of the fortnightly Stakes Run process. New Zealand and other overseas based participants will be paid prize money by way of a cheque issued by HRNSW unless an **Australian** bank account has been provided to facilitate such payments;
- 14) The date of transfer stated on Schedule A of the Transfer of Ownership of Horse application must be accurately recorded as this will determine to whom prize money associated with a race conducted whilst an application is being processed rightfully belongs to. Note that it is the responsibility of the new owner(s) to liaise with the previous owner(s) in relation to the payment of prize money that may have been made to the previous owner(s) as a result of a delay in submitting the transfer application by the new owner(s). Additionally, recorded dates of transfer may determine ownership and / or breedership of foals born after the transfer application has been processed;
- 15) If the horse being transferred is a mare being sold inclusive of a “foal at foot”, the transfer application must be clearly marked accordingly (to the right of the horse name on Page 1 of the transfer document) otherwise the new owner(s) will be charged for two separate transfer fees;
- 16) Note that a maximum of twenty (20) new owners can constitute a partnership. If you have twenty one (21) part owners or more, you will need to complete an **Application to Register a Syndicate** form (available from www.hrnsw.com.au);
- 17) Note that the **Schedule of Statements and Declarations** (Page 4 of the Transfer of Ownership document) must be completed and signed by the nominated Partnership Manager and returned to the appropriate State Authority with the completed transfer document. Transfers received by HRNSW that do not include the signed and dated Schedule will be returned to the Partnership Manager unprocessed.