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**Application to Use
 NSW Harness Race Field Information
 (Australian Wagering Operator)
 For the period to 30 June 2023**

Section 1 Details of Person making this application on behalf of the Applicant

Mr/Mrs/Ms	Surname	Given names	
Residential address:			Postcode:
Postal address if different from above			Postcode:
Phone Work:	Home:	Mobile:	
Email :		Fax:	

Section 2 Applicant Details

The Applicant must be the holder of a relevant Australian Wagering Licence - PLEASE SPECIFY

Type of Entity Sole Practioner Company Partnership Other

Registered Name:				
Business Trading Name:			ABN /ACN:	
City of Incorporation:	State:	Country:	Date of Incorporation:	
Registered Address:			Postcode:	
Address of Business premises:			Postcode:	
Postal Address:			Postcode:	
Phone	Fax	Email		
Financial Year ends on:	Name of Audit Firm and & Partner signing Financial Statements:			

Section 3 Details of Licence (s) held by Applicant

Please provide details of the Applicant's licence to operate as a wagering operator including but not limited to, the following:

The name of the legislation under which the licence has been granted.	
The Jurisdiction in which the Licence was issued and to which it relates.	
Details of any conditions attached to the licence.	
Have any Terms or Conditions of the Licence been amended/ updated or changed since it was issued?	
Details of any Race Fields Approvals issued by other codes/states	
Are you a member of the NSW Bookmakers CO-OP	

What is the back end wagering platform you will be using /going be using?		
The commencement and expiry date of the licence.	From:	To:
Please Attach copies of any wagering licence(s) held.		

Section 4 Details of Wagering Operations

4.1 How do your wagering operations accept bets? (Please Indicate)

Face to face (On course) Face to face (Agency) Telephone Internet Other

Please outline details of Website addresses and Other:

4.2 Bet Types. Please provide details of all Bet types to be offered by the Applicant (attach list if required):

4.3 Provide details of the period that Applicant has been providing a wagering service on NSW Harness meetings :

4.4 Venues. Please provide details of venues at which the Applicant will be providing a wagering product on NSW harness races (attach list if required):

4.5 Use of Wagering Information. Does the Applicant intend to use NSW Harness Race Fields for purposes other than to facilitate wagering transactions? If Yes please provide information.

Section 5 Wagering Turnover

5.1 Please provide details of actual and estimated NSW Harness Turnover as follows.

		Standard Meetings Non Totalizer Derived Odds	Standard Meetings Totalizer Derived Odds	Premium Meetings Non Totalizer Derived Odds	Premium Meetings Totalizer Derived Odds
Actual Turnover	To 30 June 2019				
Actual Turnover	To 30 June 2020				

Actual Turnover	To 30 June 2021				
Estimated Turnover	To 30 June 2022				
Estimated Turnover	To 30 June 2023				

Section 6 Key Employees & Close Associates

Information regarding the Applicant, key employees and close associates. All relevant personnel must be listed below (attach separate list if required)

6.1 Key Employees

Note: A Key Employee is as defined by Racing Administration Regulation 2012 (NSW).

(a)	Full Name: _____	Date of Birth: _____	
	Position: _____	Years Held: _____	
(b)	Full Name: _____	Date of Birth: _____	
	Position: _____	Years Held: _____	
(c)	Full Name: _____	Date of Birth: _____	
	Position: _____	Years Held: _____	
(d)	Full Name: _____	Date of Birth: _____	
	Position: _____	Years Held: _____	

6.2 Close Associates – include Company Directors in this section

Note: A Close Associate is as defined by Racing Administration Regulation 2012 (NSW).

(a)	Full Name: _____	Date of Birth: _____	
	Position: _____	Years Held: _____	
(b)	Full Name: _____	Date of Birth: _____	
	Position: _____	Years Held: _____	
(c)	Full Name: _____	Date of Birth: _____	
	Position: _____	Years Held: _____	
(d)	Full Name: _____	Date of Birth: _____	
	Position: _____	Years Held: _____	

6.3 Personal and Licence history

In this section, the Applicant must provide details of the following matters in relation to the Applicant, and also in relation to key employees and close associates (as listed in sections above) to the extent that the Applicant is aware of those details in relation to key employees and close associates after having made all reasonable enquiries:

- Criminal history (whether in New South Wales or elsewhere);
- Any prosecutions which have been commenced against the Applicant, a key employee or a close associate; or

Any disciplinary action taken under any legislation or any Rules of Racing or betting (whether in New South Wales or elsewhere) against the Applicant, a key employee or a close associate.

6.4 Probity (criminal history and prosecutions) records

Has the Applicant, or any key employees or any close associates:

- (a) In the last 10 years, been convicted of an offence, under their own name or any other name in New South Wales or elsewhere?
(If so, provide details):

- (b) In the last 10 years been on, or is now on, a bond or recognizance? (If so, provide details):

- (c) Had any charges, criminal prosecution or civil proceedings against them or now pending? (If so, provide details):

- (d) In the last 10 years forfeited bail? (If so, provide details):

- (e) Ever been convicted of any indictable offence or banned as a director by the Australian Securities and Investments Commission ("ASIC")?
(If so, provide details):

- (f) Ever been proceeded against for Bankruptcy and/or taken advantage of the laws of Bankruptcy or Insolvency in force for the time?
(If so, provide details):

6.5 Disciplinary proceedings – Rules of Racing or rules of betting, rules of betting or other

The Rules of Racing includes:

- (a) The Australian Rules of Racing (Harness);
- (b) The local rules of racing applicable in any Australian State or Territory (including the Rules of Racing); and
- (c) the rules of betting applicable under the laws of an Australian State or Territory or the local rules of racing of an Australian State or Territory to the extent that those rules of betting apply to the wagering activities conducted by an Australian Wagering Operator.

Has the Applicant, key employees or close associates:

- (a) Ever had a license disqualified, revoked, suspended, withdrawn, refused, or been listed as a defaulter by any Racing or Licensing Authority (including overseas jurisdictions)? (If so, provide details):

- (b) Ever had an adverse ruling by any racing or licensing authority made against them or any entity they have been associated with?
(If so, please provide details)

(c) has the applicant, or it's associates ever had an adverse finding or ruling by a relevant Government agency (eg Austrack) against them? (if so, please provide details)

Section 7 Capital Structure of Entity

Please provide details of ownership structure of the entity and all the shareholders. (For listed entities, please provide details of top ten shareholders)

	Shareholders	Number of Shares	% Held
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

Section 8 Related Entities

Please provide details of related entities that hold Wagering Licences

Name of Entity:	Wagering Licence issued by:	Year licence issued:

Please provide details of any contractual arrangements with related bodies corporate or associates which conduct or facilitate wagering on NSW harness events.

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Section 9 Integrity

Please attach documentation for the following:

- (a) Details of all policies and procedures your business has in place to ensure compliance with the law including any racing, betting and gambling related restrictions in the jurisdictions in which you are licenced to conduct wagering operations.

- (b) The systems you have in place to establish the identity of customers establishing wagering accounts.
- (c) Do you have systems (manual &/or computer based) along with policies and procedures to monitor and report racing/betting transactions, the ability to detect and report suspect transactions and prevent your business from being used for illegal purposes such as money laundering?
- Yes No If Yes please provide details on a separate sheet.
- (d) Do you conduct regular integrity reviews of your customers' betting activities?
- Yes No if yes, how often are the reviews conducted
- (e) What systems do you have to identify and report customers or applicants for betting accounts that may be disqualified or warned off by a racing authority?
- (f) Are there any other actions, systems, procedures and policies not specified above that you have in place that ensures the integrity of your wagering business operation? (please provide details on a separate sheet)
- (g) Is there any other information that you wish HRNSW to be aware of that would demonstrate your commitment to maintaining and enhancing the integrity of racing in NSW? (please provide details on a separate sheet)

Declaration

I, _____ declare that I am authorised to sign this on behalf of the approval holder and all of the information provided in this document and any supporting documents are complete, true, correct and not misleading (in either its content or by omission).

In the event that the information provided in this document and any supporting documents is incorrect or out of date, I agree to provide Harness Racing New South Wales, as soon as possible and at any time on, before or after this application is approved, notice in writing specifically correcting or updating that information.

I warrant that I have made all reasonable enquiries of the Key Employees and Close Associates in connection with the matters disclosed in this application.

I acknowledge and accept that the approval of this application is subject to the Harness Racing New South Wales Approval Conditions 2022 - 2023 and I agree to be bound by the conditions and special conditions referred to therein.

I agree for authorised officers of Harness Racing New South Wales to cause whatever enquiries may be necessary to assess whether I meet the integrity and compliance requirements of any rules or laws governing the NSW racing and gambling industry, and

I acknowledge and accept that authorised officers of Harness Racing New South Wales reserve the right to request the provision of additional information either in writing and/or in person for the purposes of facilitating a determination of any approval to publish NSW harness race fields as provided for under the Racing Administration Act 1998 (NSW).

Signed by a duly authorised officer of

Date:

Agreement to issue Recipient Created Tax Invoices

This Agreement is made on the _____ day of _____

Between

Company 1

ABN

Address

And Harness Racing New South Wales

Company 2

16 962 976 373

ABN

22 Meredith Street, Bankstown NSW 2200

Address

Description of Supply

The supplies noted below form the objects of this agreement:

A. Publication of NSW Harness Racing Fields

Terms & Conditions

¹ _____ ² and **HRNSW** agree that ¹ _____ shall issue Recipient Created Tax Invoices ("RCTI") in respect of the abovementioned taxable supplies in compliance with the conditions herein. A copy of the RCTI will be provided to

² **HRNSW** within 28 days of making of relevant supply.

² **HRNSW** agrees that it will not issue tax invoices in respect of the abovementioned taxable supplies.

² **HRNSW** acknowledges that it is registered for Goods and Services Tax ("GST") at the time of entering into this agreement and shall notify

¹ _____ should it cease to be so registered;

¹ _____ acknowledges that it is registered for GST when it enters into this agreement & that it will notify

² **HRNSW** should it cease to be so registered; and

¹ _____ agrees that it will not issue a document that would otherwise be an RCTI, on or after the date when Classes

² **HRNSW** has failed to comply with any of the requirements contained in A New Tax System (Goods and Services Tax) Act 1999 of Recipient Created Tax Invoice Determination (no.1) 2000.

Signed

Signed

Name 1

Name 2

On Behalf of Company

Harness Racing NSW

On Behalf of